



Order Execution Quality Report April 2018

BRI utilise the services of a 3rd party for the transaction of the following securities:

- UK Equities
- Investment Trusts
- International Equities
- Exchange-traded Funds
- Structured Products
- Debt Securities

BRI's 3rd party Execution Partner is Peel Hunt LLP, on which due diligence has been carried out.

Our Execution Partner shall take all reasonable steps to achieve the best possible result for our orders considering the execution factors listed below:

- a. Likelihood of execution
- b. Client type
- c. Costs
- d. Order size
- e. Price
- f. Settlement

Full details of our Order Execution Policy can be found here:
https://brigroup.co.uk/images/order_execution_policy_v2.pdf

Monitoring

BRI receive a monthly best execution report from Peel Hunt (Peel Hunt use LiquidMetrix, a third-party organisation who analyse the quality and cost of execution) this is scrutinised to ensure adherence to our policy and any transactions falling outside the normal market price at the time the transaction was executed are investigated.

Year to date (1st January – 30th April 2018) 92.38% of trades achieved best execution, the remaining transactions have been reviewed and deemed to have been transacted at an appropriate price based on the execution factors noted above. However, where clients have been disadvantaged they have been compensated.

In addition, BRI maintain daily monitoring of transactions.

We carry out an annual review of our Order Execution Policy.

The top five execution venues utilised (by volume) by Peel Hunt on behalf of BRI are:

- 1) XLON LONDON STOCK EXCHANGE
- 2) CHIX CBOE EUROPE - CXE ORDER BOOKS
- 3) BATE CBOE EUROPE -BXE ORDER BOOKS
- 4) GSSI GOLDMAN SACHS INTERNATIONAL - SYSTEMATIC INTERNALISER
- 5) STSI SUN TRADING INTERNATIONAL - SYSTEMATIC INTERNALISER

BRI Wealth Management plc

BRI House, Elm Court, Meriden Business Park, Meriden, CV5 9RL

Telephone: 01676 523550 **Email:** invest@brigroup.co.uk **www.brigroup.co.uk**

Registered in England and Wales No 727301. Authorised and regulated by the Financial Conduct Authority.